## Decentralized Wastewater Management Principles

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Tetra Tech, Inc.

## Today's Webinar:

- Part 1: Juli Beth Hinds
- Management Overview
- Types of Management Programs & Applications
- Establishing a Management Program
- Evaluating Costs
- Part 2: Khalid Alvi

TWIST Wastewater Management Database Tool



Today's Theme:

### **CHICKENS AND EGGS.**

## Why did the... public sector provide wastewater treatment?

- Prevent or reduce the discharge of pollutants to waters of the United States
- Provide wastewater management to support settlements and growth
- Achieve permit compliance
- Protect public health and natural resources
- Provide a framework for financing and managing infrastructure over time as an area evolves
- Provide a cost-effective solution to meet those objectives

## Conventional central sewers are getting stuck...

COSTS...\$20k/hh or \$50-60k/hh...

Receiving water limitations Energy demand Politics!

- \*Responding to environmental & land use settings
  - localized re-use needs
  - CSOs
- Growth (too much/not enough)

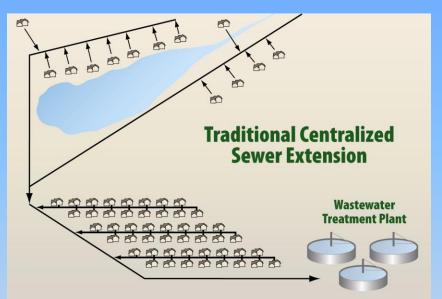


# Decentralized Management and Responsible Management Entities:



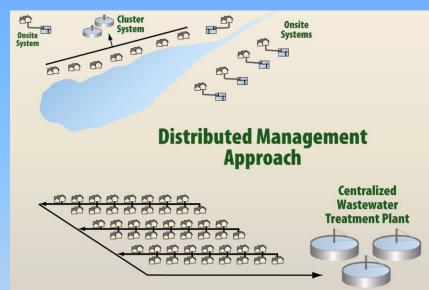
When a community needs wastewater treatment capacity, ask which comes first...the community's goals, or the sewer solution?

## Properly managed, decentralized systems CAN adapt infrastructure to land use/environmental settings





OH NO!





## Properly managed, decentralized systems CAN adapt infrastructure to land use/environmental settings

- Physically centralized sewer infrastructure becomes too expensive and energy-intensive in many land use settings – and contradicts land use goals
  - Urban fringe/exurban areas
  - Sewer capacity leading to sprawl/growth pressures
  - Rural centers and villages
  - Lakeside/coastal and resort areas
- Matching a set of distributed systems and their finance and management to the land use & environmental goal
- Optimization: combining use of cluster systems, managed on-site systems, and sewered areas to provide capacity, avoid problems, and further goals

## When we mix up the players in on-site and small community systems...

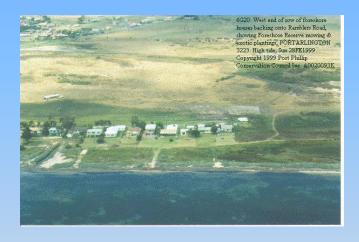
- State agencies
  - Set regulatory standards and dole out \$ key determinant of what infrastructure choices are available
  - Decide what small-scale system technologies are allowable
- Local/County health departments
  - issue permits for small systems
  - investigate complaints
  - respond to public health threats
- Tribal environmental agencies
  - ensure system operation
  - investigate complaints
  - ensure compliance
  - monitor water quality
- Homeowners/Businesses!



## ...the outcome isn't exactly what we were looking for:



OR





## The idea of "management" is...

- To create an
   ALTERNATIVE or
   COMPLIMENT to
   conventional
   central sewer
   service that still
   meets these goals
- Connect through management agreements, not pipes



### The Responsible Management Entity:

The term "Responsible Management Entity" (RME) was coined by the EPA in its Voluntary National Guidelines for the Management of Decentralized (Onsite and Cluster) Wastewater Systems. Briefly, the EPA defines an RME as a legal entity responsible for providing management services to ensure that decentralized onsite or clustered wastewater treatment facilities meet estabished criteria.

(ww.epa.gov/owm/septic/pubs/septice control co

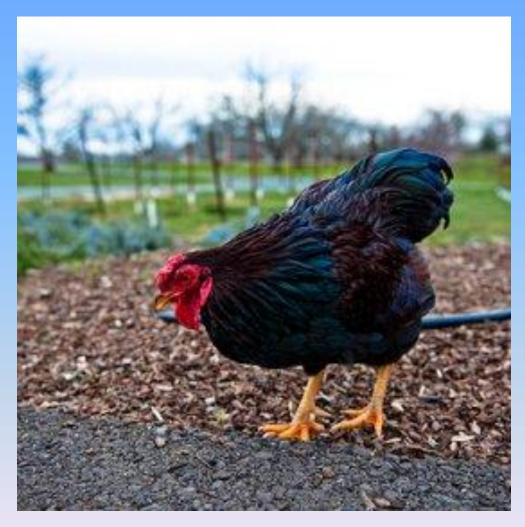
- Creates a common set of working principles for ALL of those involved in providing, funding, planning and regulating wastewater management
- Ranges from simple education and awareness through actual ownership of distributed systems by a utility-like entity

## What a management program/RME can do that sewers typically don't:

- Consideration of all options (decentralized and centralized)
- Work without superceding existing laws
- Cover surface and subsurface discharges
- For existing, new, and all size systems
- Direct outreach to improve owner awareness
- Outreach to practitioners/installers
- Routine Operation & Maintenance for compliance
- Effective and affordable options for difficult sites

- Management for existing systems: Assess surface & groundwater quality; Assess treatment systems & risks; Find & fix problems
- Plan for New systems:
   Capacity, Planning,
   Design, FINANCE,
   Operation & Maintenance

## The First Step is Always the Hardest



## **EPA Management Guidelines**



A series of 5 conceptual models that may be used by local units of government OR utilities to assist in developing management programs for on-site and/or cluster systems

\*The <u>type</u> and <u>intensity</u> of management services is directly related to the <u>type</u> and <u>number</u> of systems in the jurisdiction, and the complexities of the land use & environmental setting!

## Management models: highlights

#### Program Model 1: Homeowner Awareness

- Prescriptive system designs
- Proactive maintenance encouraged through education and reminders

#### Program Model 2: Maintenance Contracts

- Allows enhanced treatment units on individual sites
- Required maintenance contracts between owner and operator

#### Program Model 3: Operating Permits

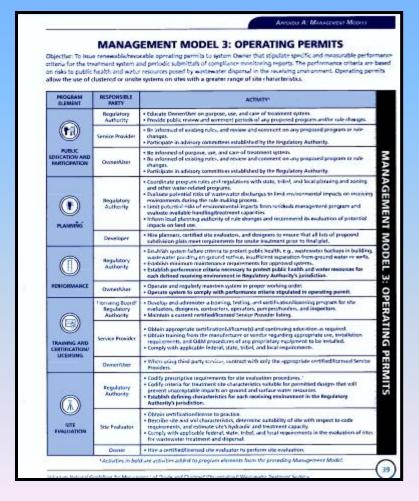
- Entry to performance-based programs & local standard-setting
- Compliance based on performance rather than technology or design

#### Program Models 4 & 5: RME O&M or Ownership

- Responsibilities given to responsible management entity (4-third party O&M; 5-third party ownership)
- Enables more watershed-wide planning.

## Elements of a Comprehensive Management Program write these down!

- Public Involvement
- Planning
- Performance Requirements
- Training & Certification/ Licensing
- Site Evaluation
- Design
- Construction
- \*Regulatory, local & private relationships!



- 0&M
- ResidualsManagement
- Inspections/ Monitoring
- Corrective Actions
- Record-Keeping/Reporting
- Financing

Table 4. A framework for exploring management issues					
Issue	Questions to be addressed				

chicken-and-egg questions! At what point will the planned management program structure be sustainable? If the program is sequentially implemented, when will each sequence be completed?

\*\*Expect a lot of

Service area	

Time frame

When will the management program be fully operational? • What areas or which systems will the management program serve? powers to make the program effective and sustainable?

Are these areas compatible with a local public jurisdiction that would have the necessary Do specific subareas need different management approaches (system designs, staffing, regulatory controls)? • What public health and water resource problems will be addressed?

**Purpose** Structure

• What measurements should be made (monitoring) to verify success? Can existing entities be modified or be included in a partnership to provide management services or will a new entity be needed? Should the management program be limited to decentralized wastewater treatment, or should other water, stormwater, or wastewater infrastructure be included?

Authority and liability

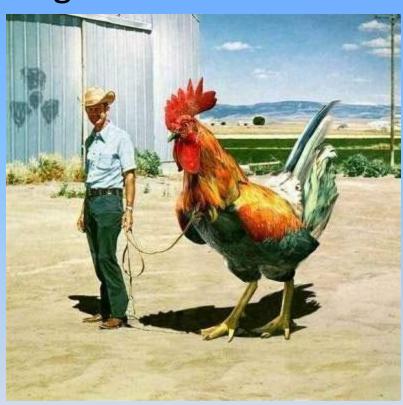
◆ How will the program elements of the management program be staffed and administered? Will formal agreements, ordinances, or other legal mechanisms (articles of incorporation, public charter) be needed to create the structural elements of the program?

• Which systems will be under the jurisdiction of the management program? Will the onsite treatment systems be privately or publicly owned? How will future wastewater systems be planned, designed, installed, operated, maintained, inspected, and repaired or replaced? ◆ What is the relationship between the management program and the regulatory authority? ◆ What formal agreements, ordinances or other legal mechanisms (e.g., with system or property owners) are necessary to implement each element of the program? How will the program be funded (planning, construction and operational phases)?

#### Very important!

## Matching system SIZE, COST and COMPLEXITY to the land use setting served

- Functions to consider:
  - Number of households/users potentially served
  - Initial capital cost/user at different sizes
  - Environmental protection objective (sensitive resources, nutrient removal, etc.)
  - Water re-use opportunities (consider before locating proposed infrastructure)
  - Maintenance complexity: number of visits/month and at what LEVEL/cost of service professional
- \*\*Beware the proliferation of expensive, maintenance-intensive on-site treatment systems



Keep things in proportion!

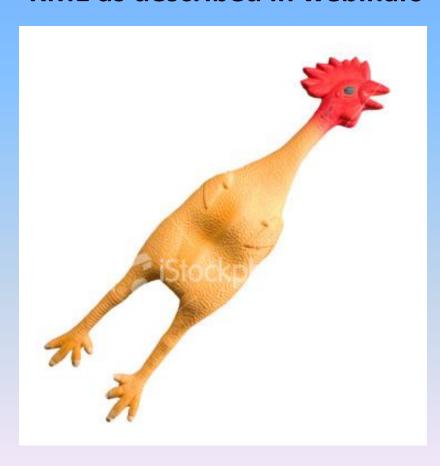
### How is an RME like my husband's omelets?

- Revolving loan funds for wastewater treatment
- Easements
- Public outreach/awareness
- Maintenance contracts
- Property owner associations
- Special purpose districts
- User fees
- Watershed assessment
- Permit reporting
- Enforcement

You're making a meal out of ingredients already hiding in your refrigerator!

## Real, Live Places with RME-Managed Decentralized Wastewater

RME as described in webinars 
An effective RME in the field

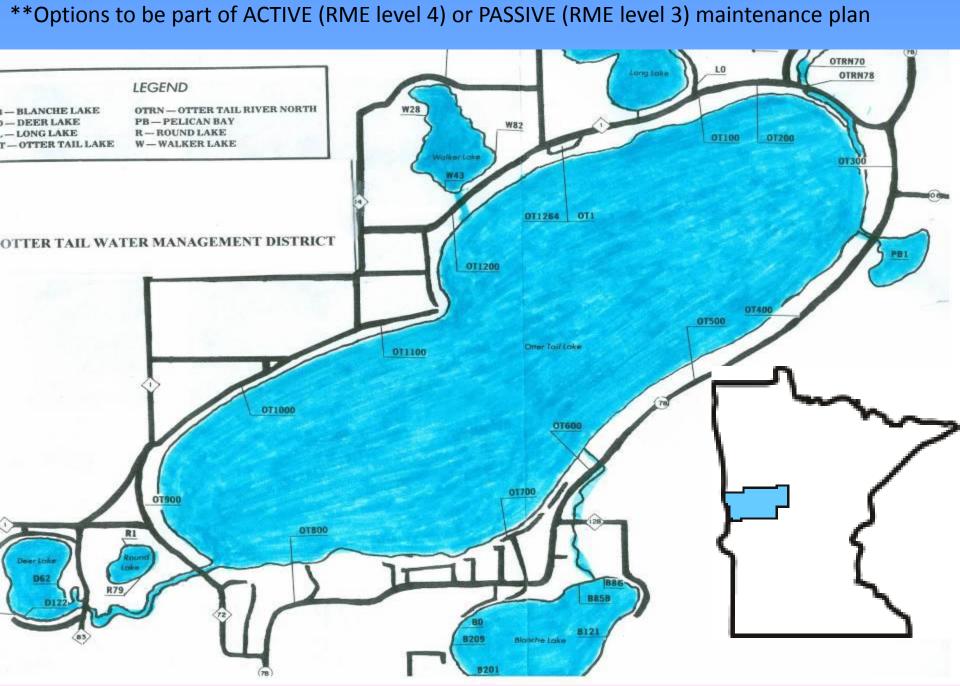






Otter Tail, MN – serving 1600 connections since 1984; 75% seasonals

\*\*Options to be part of ACTIVE (BME level 4) or BASSIVE (BME level 3) mainter



### **Developing System Cost Estimates:**

- Big hairy problem #1: sewer costs/unit
  - Capital: \$20,000+/unit...\$50,000+/Cape Cod estimates
- Big hairy problem #2: people think decentralized is "free." Until it's not.
- Big hairy problem #3: decentralized does require the establishment of annual operation and maintenance fees, rather than install & forget
- Big hairy problem #4: collection, treatment and dispersal systems complexity increases maintenance cost/time and potential for problems



	Treatment Method	Technology	Capital Cost (NATIONAL AVERAGE RANGE)	Annual O/M Cost	
Complete	Conventional	Septic tank – gravity - soil	\$6,000 - ? (STOP LAUGHING!)	\$200 pump- out/ 5 yrs.	
(1) Treatment +	Advanced Pre- Treatment Systems	Suspended Growth aerobic treatment unit	\$7,000 - \$8,000	\$1150	
		Attached Growth a.t.u.	\$9 - \$13,000	\$450	
	Advanced	Intermittent media filter	\$6 - \$10,000	\$200	
	Treatment Systems	Recirculating media filter	\$8 - \$11,500	\$300	
		Vegetative submerged bed	\$7 - \$9,000	\$350	
(2) Dispersal	Advanced Dispersal	Pressure distribution	\$4 - \$8,000	\$200-\$250	
	Systems	Drip dispersal	\$6 - \$10,000	\$250-\$300	
+ (3) if	Cluster Treatment	Conventional Sewer	\$20 - \$30,000	\$200-\$600	
cluster, collection	System	STEG	\$7,500	\$230/ERU	
		STEP	\$10,000	\$260/ERU	
		Vacuum	\$10,000	\$130- \$160/ERU	
		Grinder Pump	\$9,500	\$280/ERU	

## Besides providing capacity, many other applications & adaptations for distributed management!

- HIGH RISK environmental areas:
  - Coastal or aquifer areas with specific nitrogen removal concerns (La Pine, OR)
  - Enables tailoring pre-treatment/removal to the risk, rather than a blanket solution (Block Island)
- Areas with REUSE needs:
  - Sewer mining: going into the "grid" to remove and treat water for irrigation, urban greening (Mobile, Los Angeles)
  - Site-scale reuse for irrigation, industrial, cooling, etc.
- CSO/SSO removals:
  - Removing flows from a central system at a point where it's overloaded

## And now, an actual RME financial plan in development

STACE grant			PHASE I		PHASE II			PHASE III		
Winter Purk System Installation   \$100,000   \$100,000   \$500,000			FY 2011	FY2012	FY2013	FY2014	FY2015	FY2016	FY2017	FY2018
Filedors   Chem	STAG grant	\$1,000,000						10.8		
Filedors   Chem					l					
Milling Orders Equamision			\$100,000		l			l		
Web House/Fire Station				\$100,000				l		
MidSooral Waterfagers   Sindon   Sind								l		
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TRIAL CIPITAL   S.105,000   S.100,000   S.700,000   S.100,000   S.100,000   S.700,000   S.100,000   S.700,000   S.100,000   S.700,000					400000			l		
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PROGRAM MICOME   PY 2011   PY 2012   FY 2013   PY 2014   PY 2015   realyment   Income   discount   Micro Park   FY 2017   FY 2018   FY 2018   FY 2018   FY 2015   FY 2019   FY 2015   FY 2019   FY 2015   FY 2019   FY	Total Capital		\$105,000	\$130,000	\$70,000	\$125,000	\$130,000	1		
PROGRAM MICOME   PY 2011   PY 2012   FY 2013   PY 2014   PY 2015   realyment   Income   discount   Micro Park   FY 2017   FY 2018   FY 2018   FY 2018   FY 2015   FY 2019   FY 2015   FY 2019   FY 2015   FY 2019   FY										
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Village Green  Will House/Fire Sation  56,567,17   56,567,17   56,567,17   56,567,17   56,567,17   51,11,144   65   51,144   46   51,144   52,144   51,144			\$6,567.17							\$23,863
Mac House/fire Station				\$6,567.17						\$23,863
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Additional System interest Rate				\$6,567.17	\$6,567.17					\$23,863
Interiore Rate 2.75%   \$5,567.17   \$19,701.52   \$22,986.11   \$19,552.28   \$36,119.45   \$172,389.04   \$13   \$12,758   \$10,758	Additional System					\$6,567.17				\$23,863
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Permit reports & Inspections   maintenance done privately   50   50   50   50   50   50   50   5	Program/Grant Management	contractual through water district	\$10,000	\$10,275	\$10,558	\$10,848	\$11,146			
			\$0	\$0	50	50	50	1		
Water quality sampling   done privately   So   So   So   So   So   So   So   S			\$5,000	\$5,138	\$5,279	\$5,424	\$5,573	1		
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Capital/sinking fund transfer   Nor operation & maintenance   S5,000,00   S5			\$2,500.00	\$2,569	\$2,639	\$2,712	\$2,787			
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# TWIST: The Wastewater Information System Tool

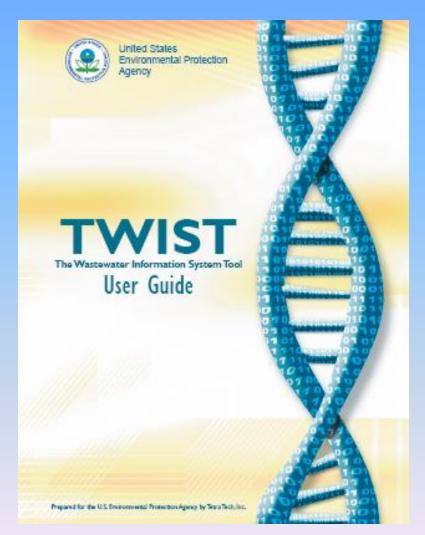
for Managing Onsite and Clustered (Decentralized)
Wastewater Treatment Systems



Khalid Alvi, Tetra Tech

### Overview

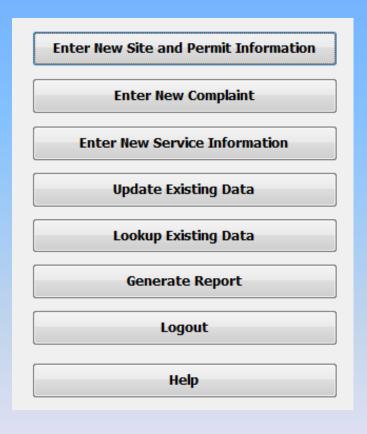
- TWIST application overview
- Data entry workflow
- System implementation
- Functionalities
- Customizing the tool



### System overview - database concept



## System overview – contd.



- MS Access database developed with EPA's guidance to help local, county, and state health departments
  - adaptable tool for tracking and managing onsite and clustered wastewater treatment systems
- Asset management system or Inventory tool
  - To enter new data
  - To update existing data
  - To view existing data and
  - To view report (sample available)

### Data entry workflow

TIER 1:
General Site Information

TIER 2: Permit Information

TIER 2: Treatment System Information TIER 2: Site Evaluation Information

TIER 3: Service Report TIER 3: Complaints

TIER 3: Facility Information

### Data entry workflow – contd.

**Main Form** 

New Site and Permit Information

**New Complaint** 

New Service Information

**Update Existing Data** 

**Lookup Existing Data** 

**Sample Report** 

**Site Information** 

**Permit Information** 

**Site Evaluation** 

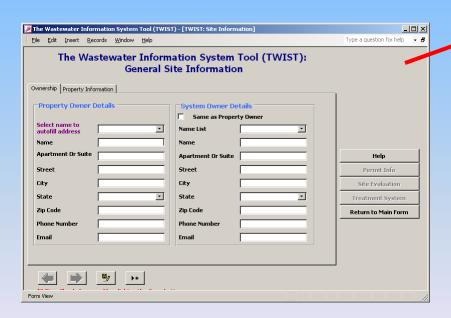
**Treatment System** 

**Service Reports** 

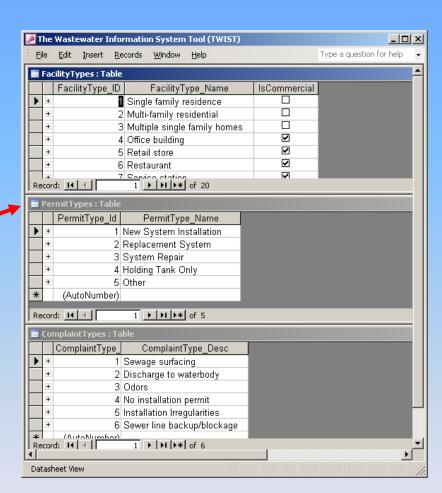
**Facility Information** 

### System implementation

- Backend database
- Front-end data entry forms



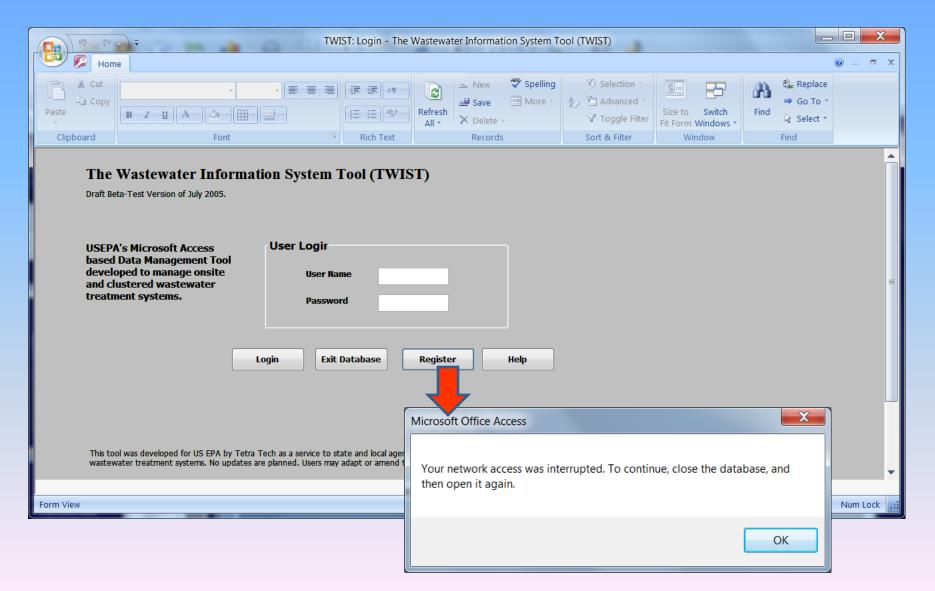
Twist.mdb



Twist\_data.mdb

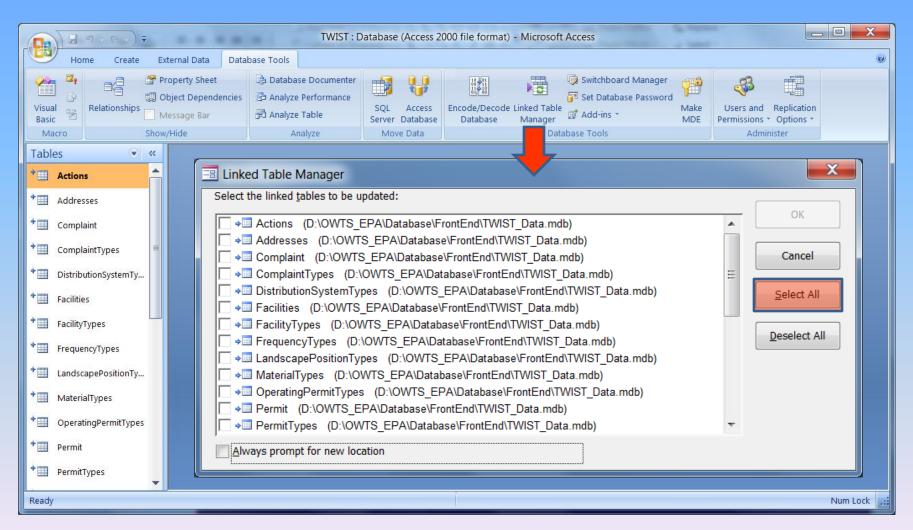
### System implementation – contd.

#### Opening Twist.mdb without backend database linkage



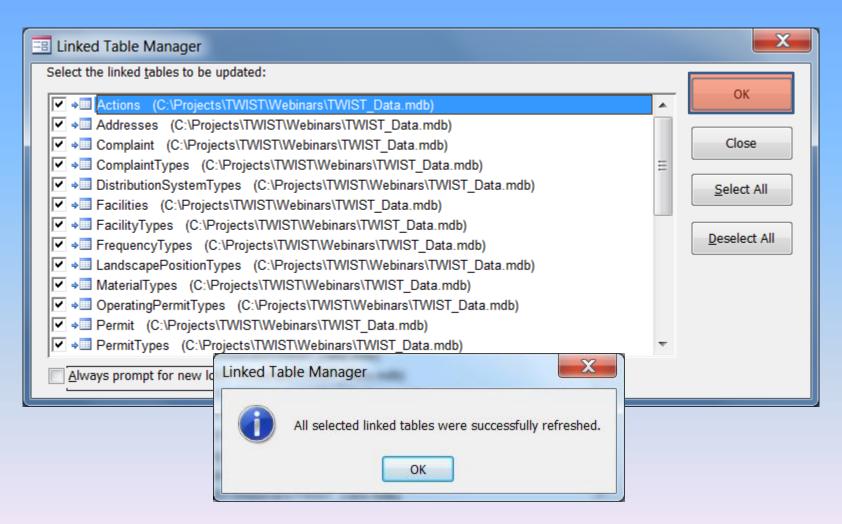
### System implementation — contd.

#### Hold shift key while opening Twist.mdb

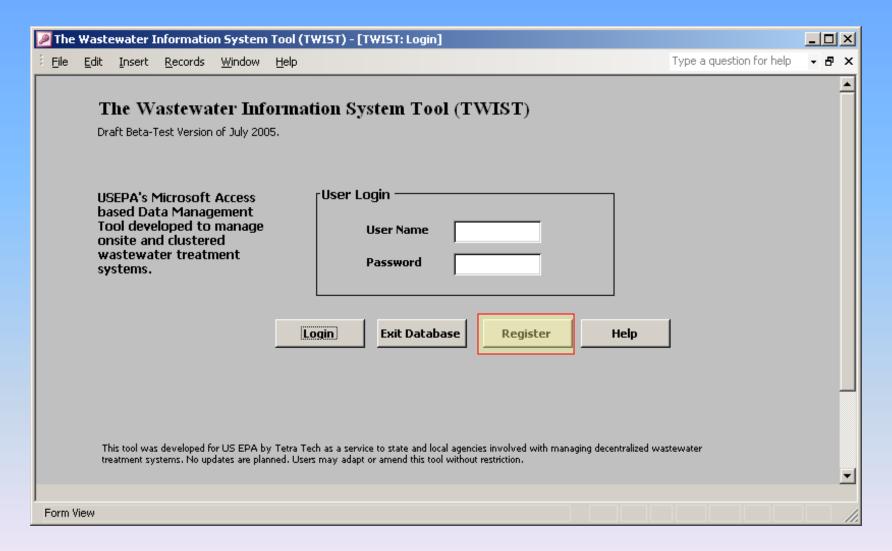


## System implementation – contd.

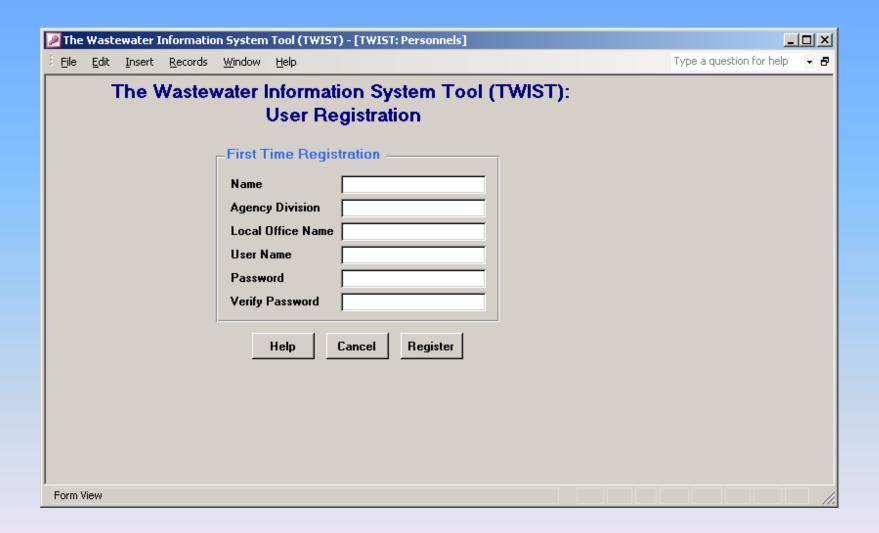
### Link backend database file Twist\_Data.mdb



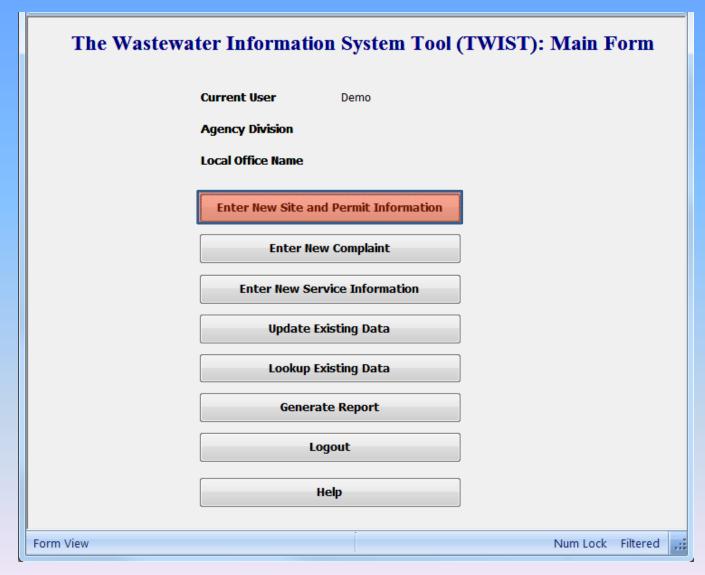
## User authentication



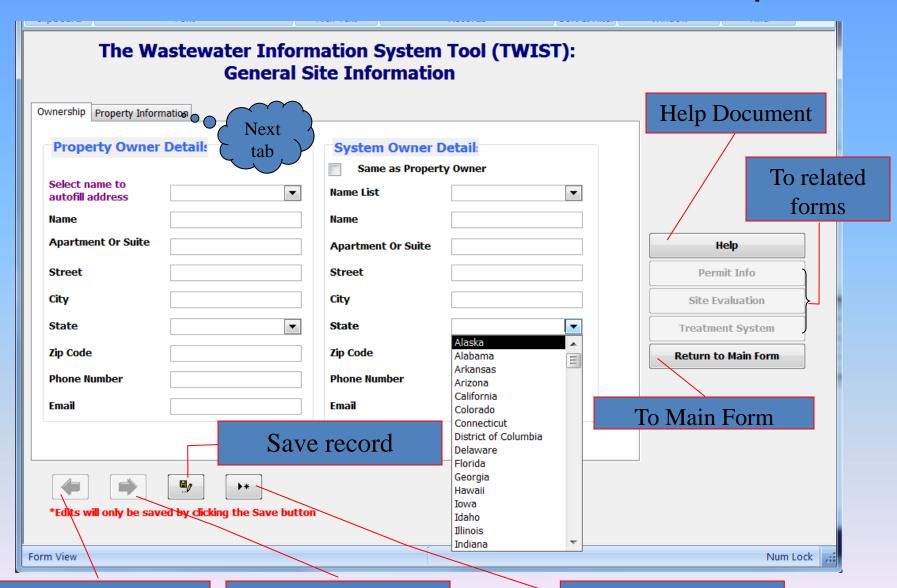
## User authentication – contd.



### TWIST: main form



## General site information: ownership

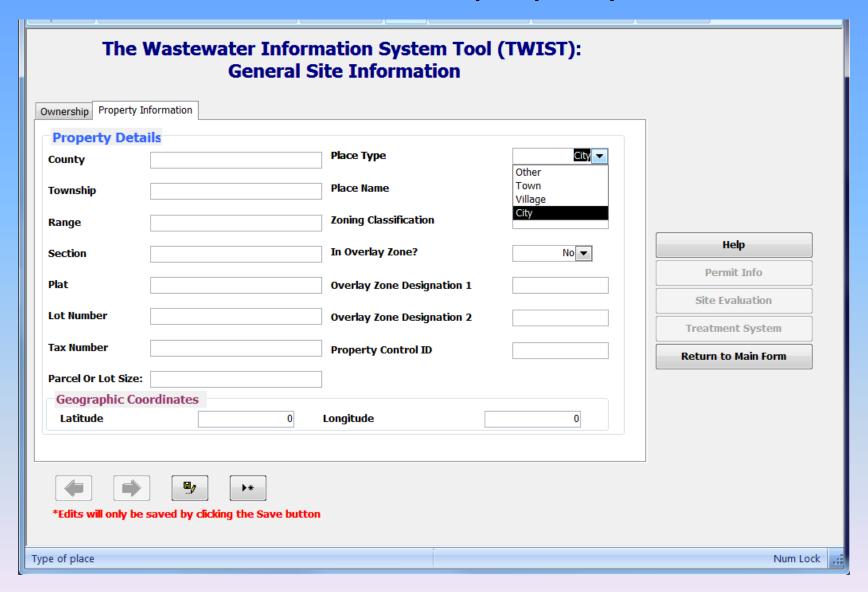


Previous record

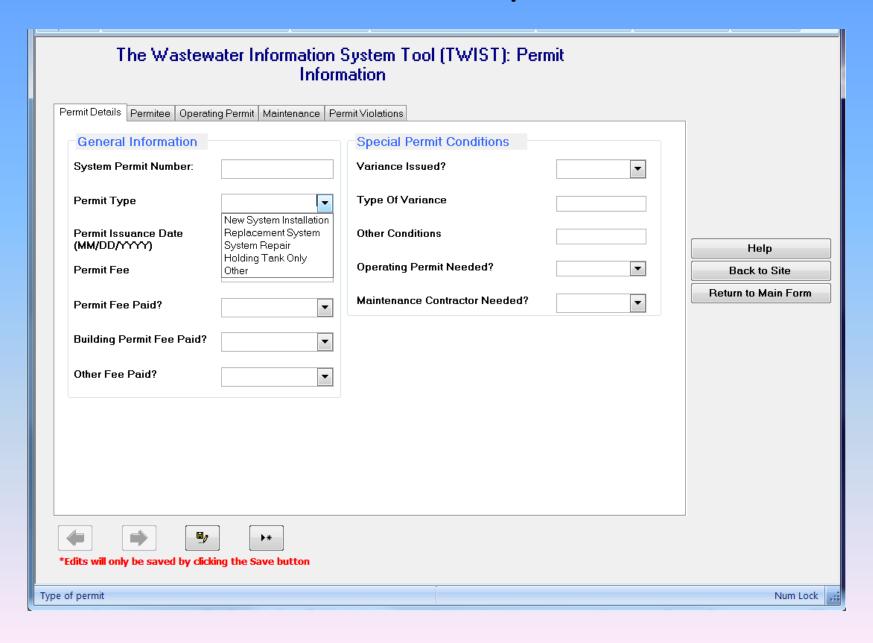
Next record

Add new record

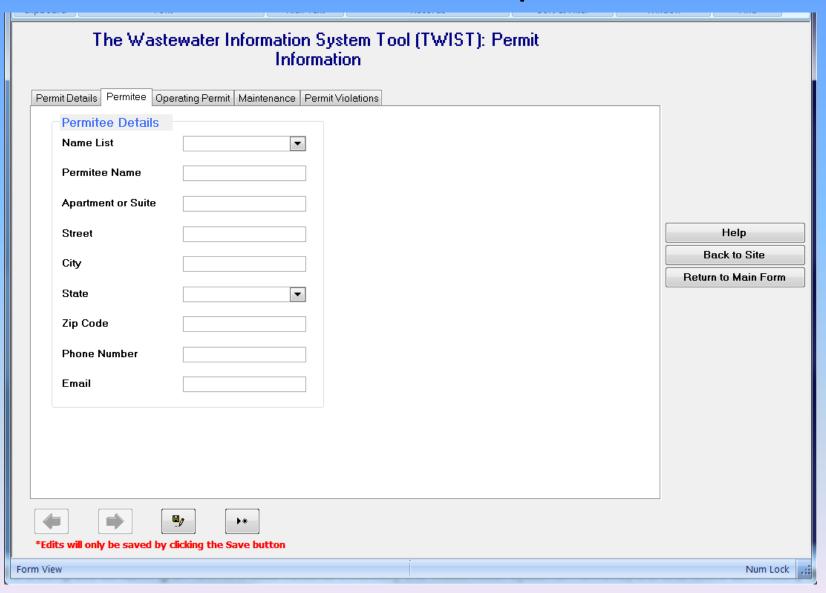
### General site information: property information



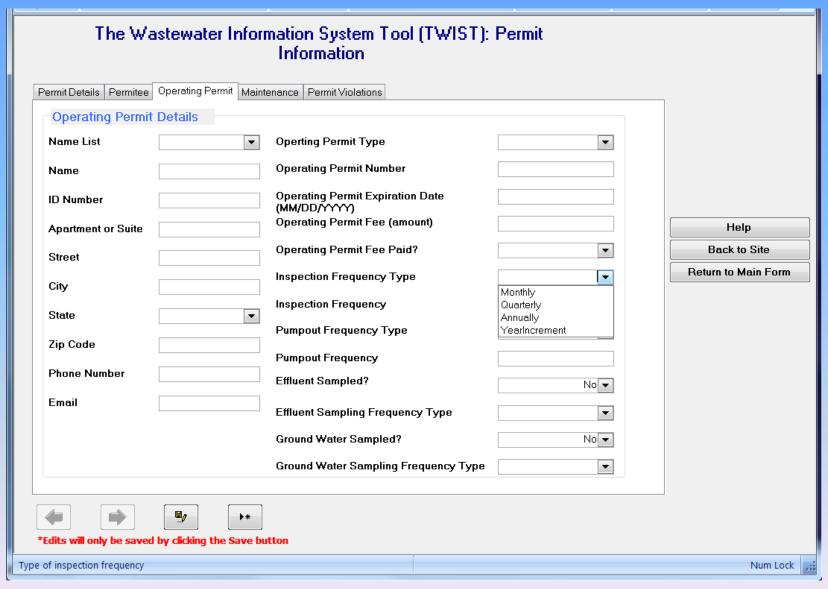
## Permit information: permit details



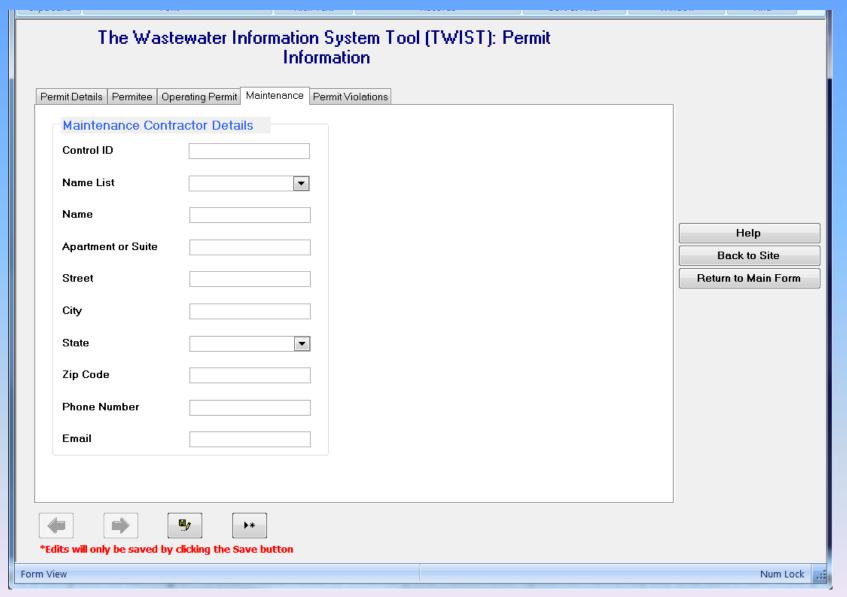
### Permit information: permitee



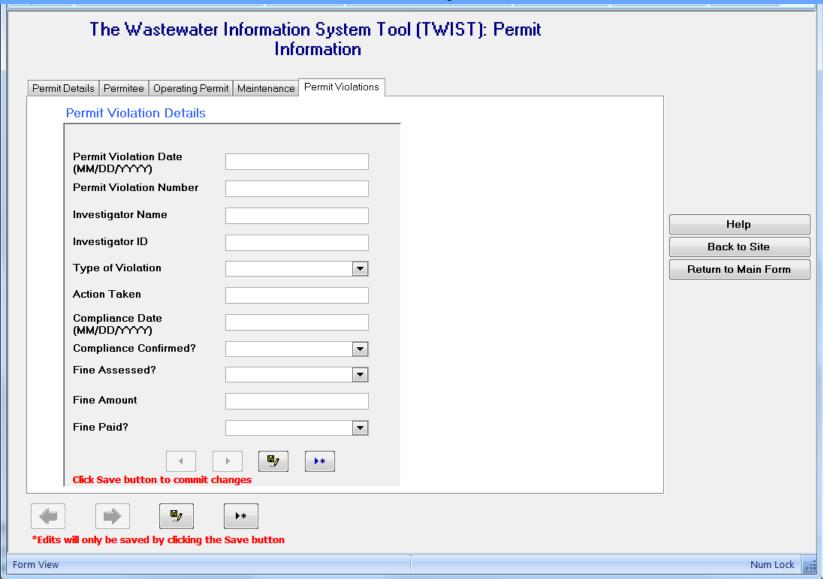
## Permit information: operating permit



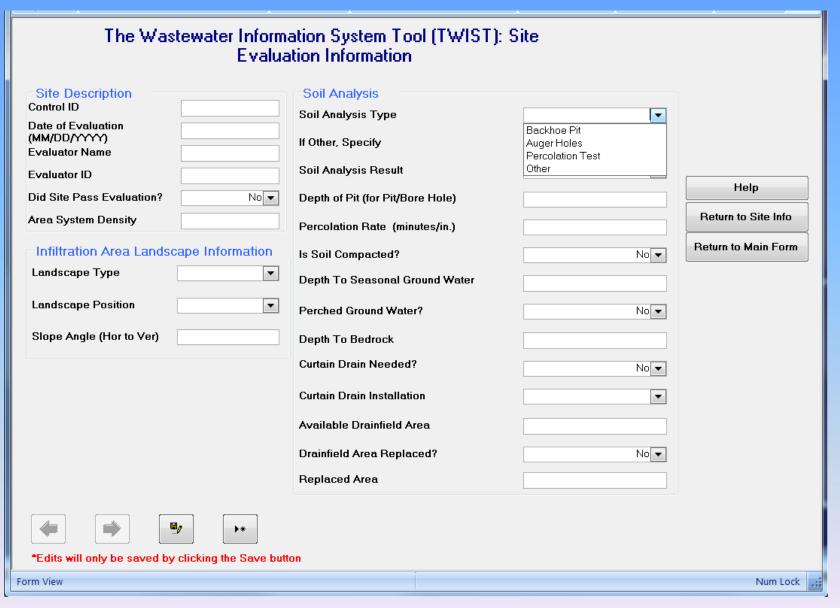
### Permit information: maintenance



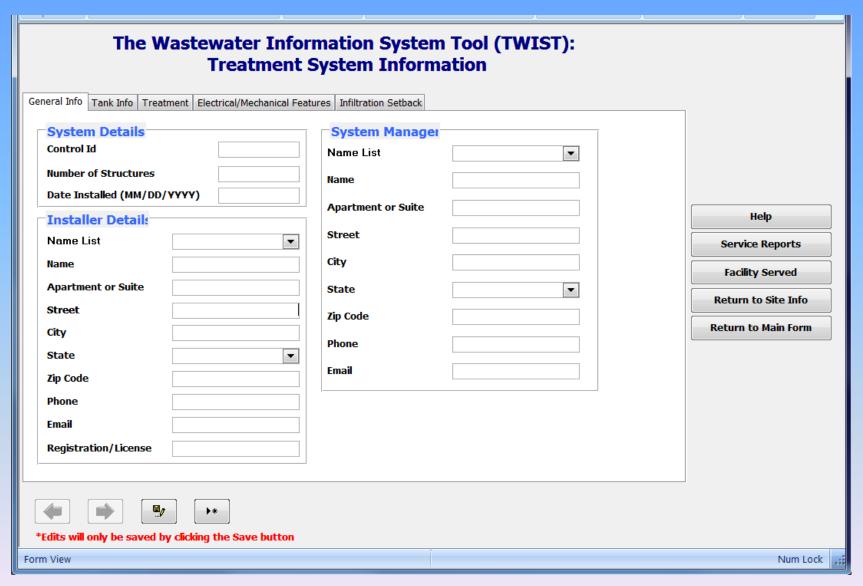
## Permit information: permit violations



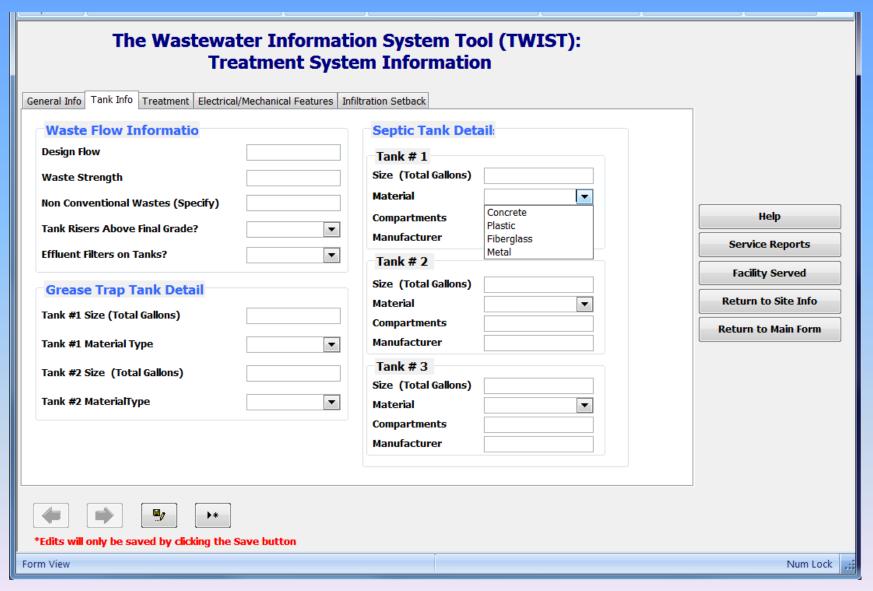
### Site evaluation information



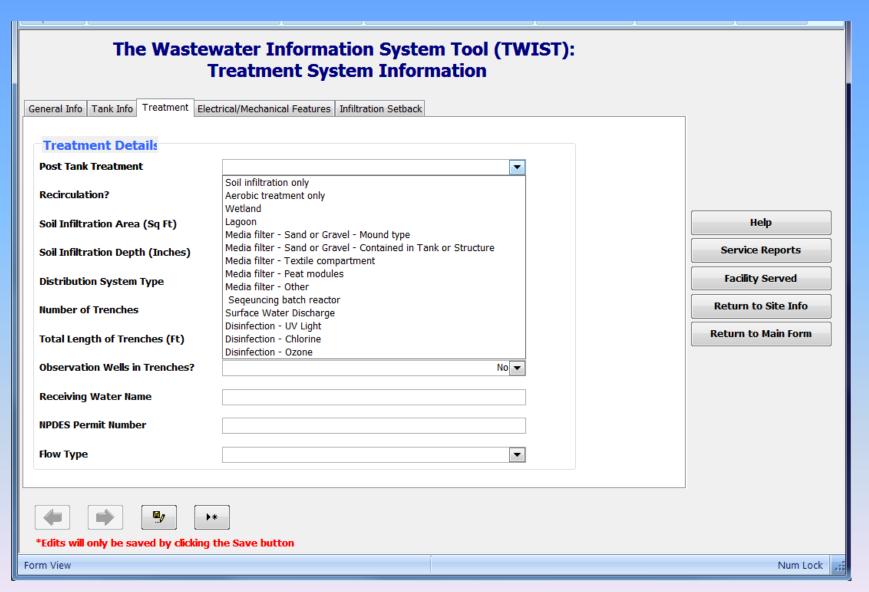
#### Treatment system information: general information



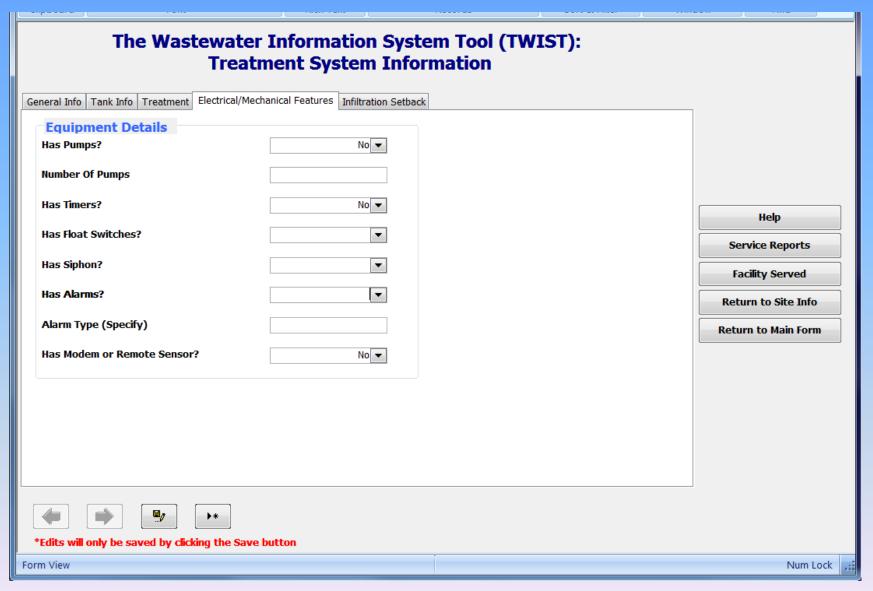
#### Treatment system information: tank information



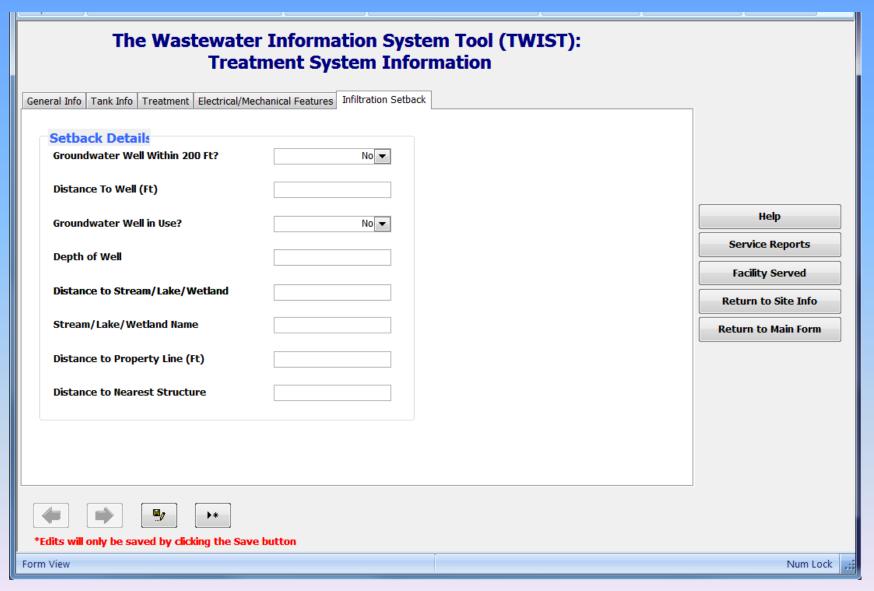
#### Treatment system information: treatment



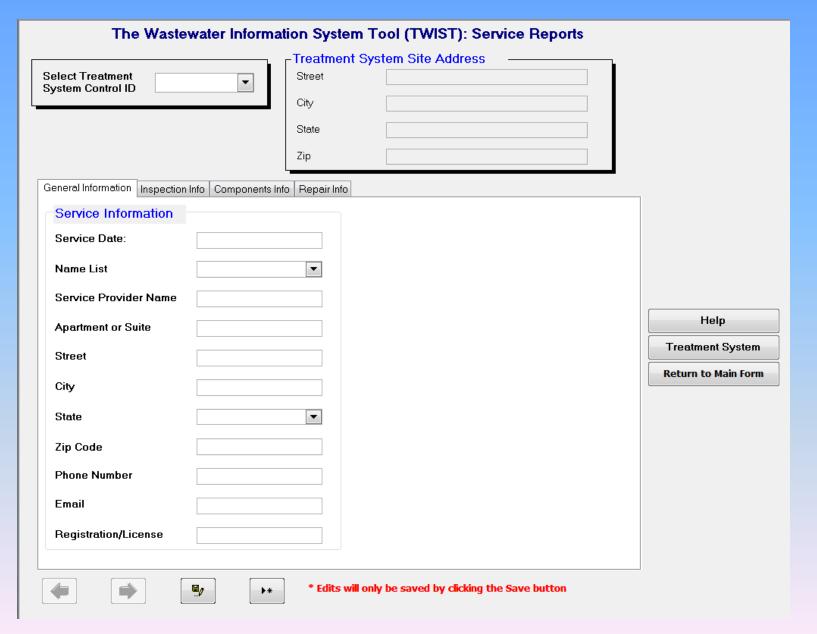
### Treatment system information: electrical/mechanical features



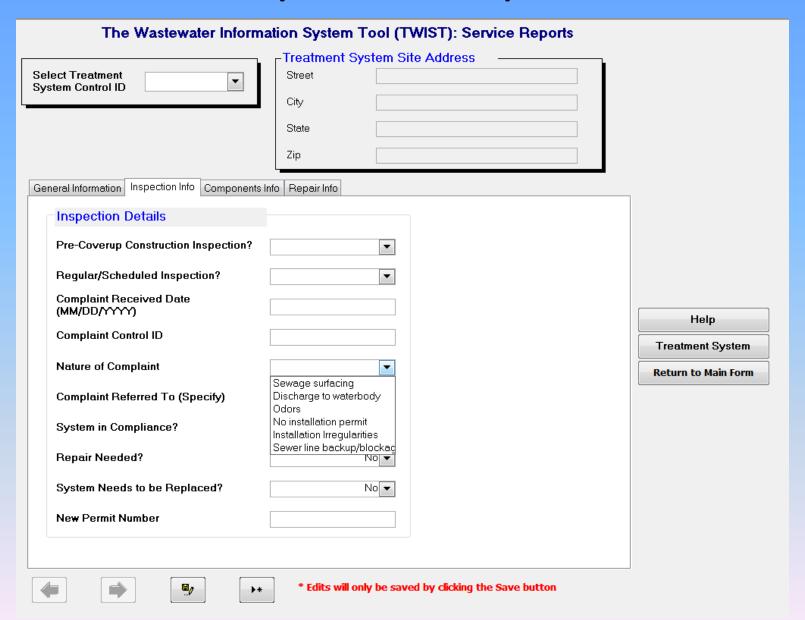
### Treatment system information: infiltration setback



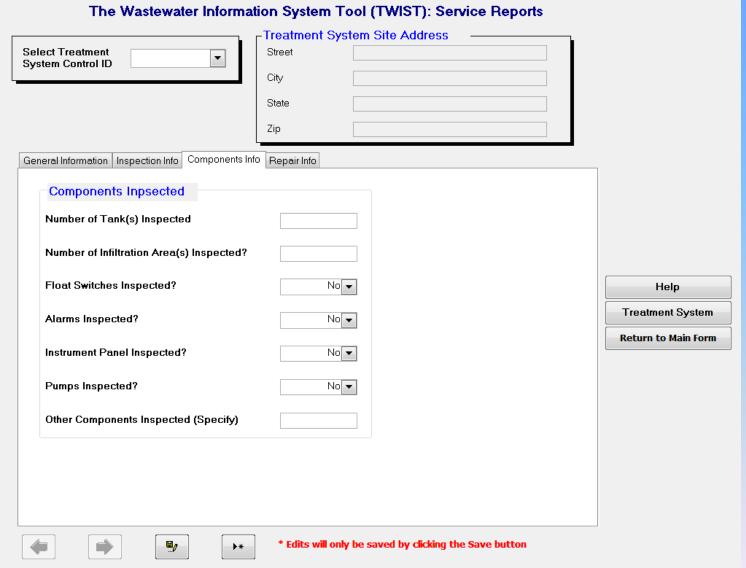
## Service reports – general information



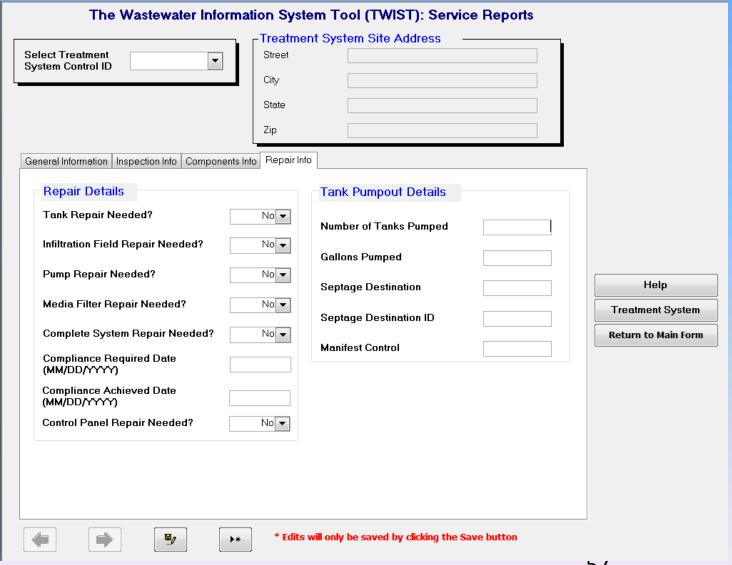
## Service reports – inspection info



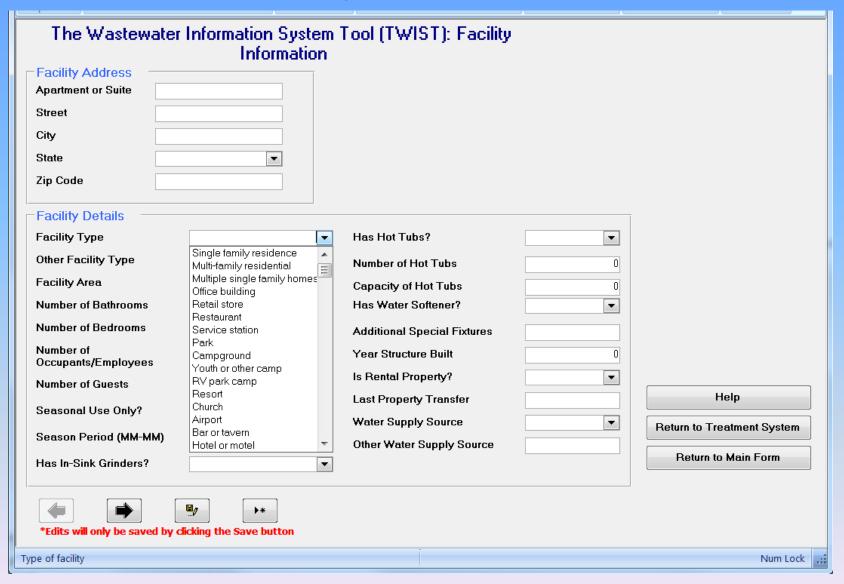
## Service reports – components info



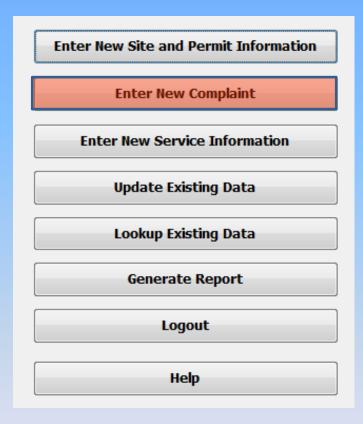
## Service reports – repair info

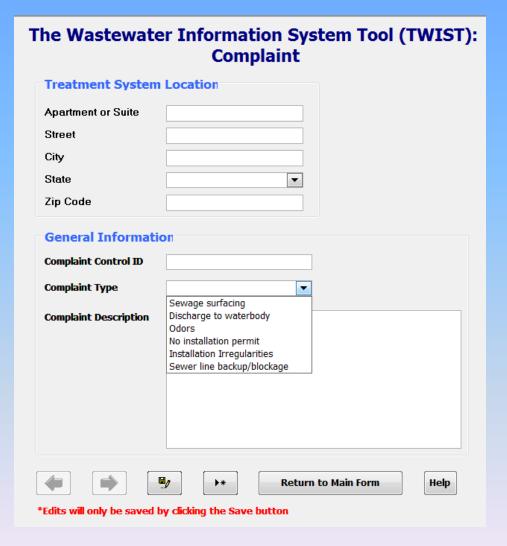


## **Facility information**

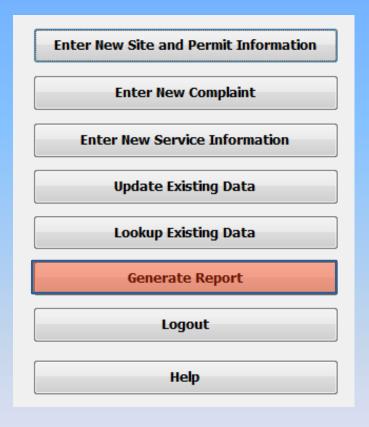


## Complaint





# Site information report



	tewater Inform tteWISE): Site		
Property Owner Details		System Owner Details	
e meII	Alex Jackson	Name	Alex Jackson
Apartmento r Suite	201	Apartment or Suite	201
Street	2100 Anderson St	Street	2100 Anderson St
Cky	Faircity	Cky	Faircity
State	VA	State	VA
Zip Code	66555	Zip Code	66555
Phone	9990007778	Phone	9990007778
<b>E</b> mail	s@b.com.	Em a il	s@b.com.
General Inform	nation		
County	Fairfax	Tax Numb er	9766655
Township		Pancel or Lot Site	67
Range		Property Control I	199888
Section		Latitude	-77.57083
		Longitud e	34.94583
Pht	1223	Zoning Class	A
Place Name	Jermantown	L: Overby Zone?	
Phoe Type	Village	Overlay Zone Designation 1	
Lot Number	23	Overlay Zone Designation 2	

# Customizing the tool

- User Guide Section IV
  - Data structure
  - Security

#### IV. Database Design

The regular menus and toolbars are disabled when the user opens the database. To be able to modify the database design or functionalities, press and hold the Shift key while opening the database. The database is in an editable mode when the screen shown in Figure 15 appears.

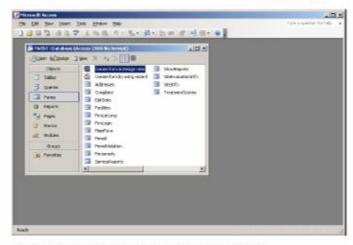


Figure 15. TWIST database window, showing the database's list of forms.

#### Adding New Data Fields or Modifying Fields

With the database in the "editable" mode and the screen in Figure 15 is showing, select Tables in the Objects list.

Right-click the table to be modified and then click on Design View. This will open the desired table in design view.

To add a new field move below the last existing field, type the field name in the Field Name column and select the field data type under the Data Type column (Figure 16). The user can optionally insert information about the newly-added field under the Description column.

## How to get TWIST

Download from EPA Website

http://cfpub.epa.gov/owm/septic/septic.cfm?page\_id=220

In a CD from EPA

- User guide
  - PDF format